intelliflo assist

Gives you more time to spend on client-facing activities with a configurable suite of outsourced middle and back office services.

The intelliflo assist difference

The decision to outsource your operations is an important one. intelliflo assist combines industry-leading technology with trusted professionals to provide the ultimate middle and back office solution.

Bespoke service
No automated phone lines, no impersonal call centers – just a dedicated support team to help you succeed.

More time
We handle those lower-value middle and back office functions. You spend more time on the client-facing activities that matter most.

Deep expertise
Averaging 15+ years each supporting RIAs just like you, our team can help you navigate the many complexities of running your business.

Cost & efficiency
Hire more people? Buy more technology? Outsourcing can often be a more efficient and less costly solution.

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intelliflo assist at-a-glance

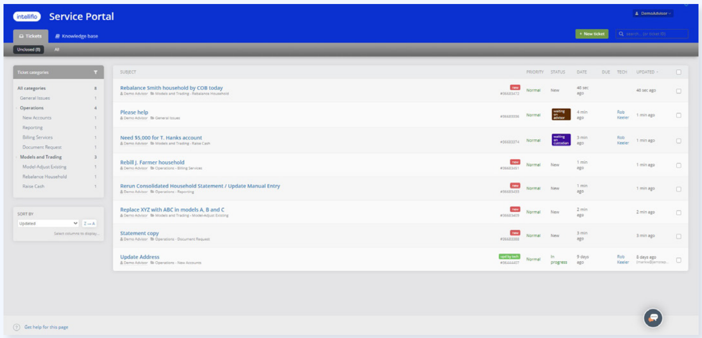
Investment proposals	Goal planning	Risk tolerance & solution mapping	Fully-digital onboarding	Multi-custodian access & management
Client money movement	Billing & fee management	Client invoicing	Portfolio accounting & reporting	Client, advisor & home office dashboards

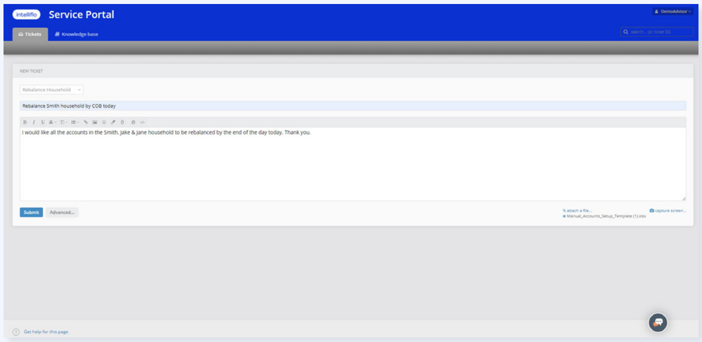
All delivered on top of intelliflo's turnkey technology platform

How are we able to offer and scale these services with such a highly-personalized touch? We utilize intelliflo's technology platform which powers the advisory experience globally for over:

- 2,500 firms
- 30,000 advisors
- 3 million end investors
- Over $1 trillion in assets*

**as of 3/31/21*





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intelliflo assist & intelliflo managed: Detailed feature comparison

<table>
<tr><th></th><th>intelliflo assist</th><th>intelliflo managed</th></tr>
<tr><td rowspan="22">Operational Support for set-up & account admin</td><td colspan="2">Access to end-to-end client driven robo platform</td></tr>
<tr><td colspan="2">Configure IPS/Proposal, RTQs, goal-planning assumptions</td></tr>
<tr><td colspan="2">Set-up approved investment model, SMAs and holdings for client portfolios</td></tr>
<tr><td colspan="2">Rules for mapping clients to model/asset allocation based on risk, segment, and other factors</td></tr>
<tr><td colspan="2">Account opening and other custodial set-up</td></tr>
<tr><td colspan="2">Configure billing requirements - schedule, method of fee schedules per firm as flat-rate, tiered, or breakpoint etc.</td></tr>
<tr><td colspan="2">Configure client invoicing</td></tr>
<tr><td colspan="2">Configure reporting requirements - QPRs and other</td></tr>
<tr><td colspan="2">Data conversion for existing account</td></tr>
<tr><td colspan="2">Convert past performance data for additional fee</td></tr>
<tr><td rowspan="4">N/A</td><td>Configure rebalancing rules - drift and cash settings</td></tr>
<tr><td>Configure tax loss harvesting rules</td></tr>
<tr><td>Configure substitution settings</td></tr>
<tr><td>Unified Managed Account (UMA) set-up</td></tr>
<tr><td colspan="2">Liaison between custodian and advisor on custodial items</td></tr>
<tr><td colspan="2">Work with custodian to minimize advisor and client follow-up requests</td></tr>
<tr><td colspan="2">Manage daily custodian feeds</td></tr>
<tr><td colspan="2">Raise cash on instruction</td></tr>
<tr><td colspan="2">Deploy cash on deposits</td></tr>
<tr><td colspan="2">Handle account maintenance administration</td></tr>
<tr><td colspan="2">Monitor account opening and handle potential issues</td></tr>
<tr><td colspan="2">Debiting (and crediting) fees to client accounts</td></tr>
<tr><td rowspan="5">Investment Support & Capabilities</td><td rowspan="16">N/A</td><td>Provide access to more than 150 portfolios from various Strategists available</td></tr>
<tr><td>Coordination of legacy securities and large gains</td></tr>
<tr><td>Advisor directed (rep-as-pm) firm or account models supported</td></tr>
<tr><td>Ongoing maintenance and updates to models, SMAs etc.</td></tr>
<tr><td>Manage and validate model changes for each account</td></tr>
<tr><td rowspan="11">Trading & Rebalancing</td><td>UMA for advisor directed and Strategist models</td></tr>
<tr><td>Raise cash as needed for distributions (one-time or systematic)</td></tr>
<tr><td>Handle ad hoc trades requested by advisor</td></tr>
<tr><td>Manage in a tax aware manner when possible</td></tr>
<tr><td>Avoid, as practical, wash sales and redemption fees</td></tr>
<tr><td>Maintain cash floors as needed</td></tr>
<tr><td>Ability to exclude or avoid selling specific securities</td></tr>
<tr><td>Facilitate advisors to be multi-custodial</td></tr>
<tr><td>Daily monitoring for drift</td></tr>
<tr><td>Execute block training</td></tr>
<tr><td rowspan="3">Support & Servicing</td><td colspan="2">Handle account maintenance administration</td></tr>
<tr><td colspan="2">Monitor account opening and handle potential issues</td></tr>
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